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APR 23 2009

OFFICE OF PETITIONS

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20 April 2009

TO: Commissioner of Patents and Trademarks
Office of Finance – Maintenance Fee Division
2051 Jamieson Ave – Suite 300
Alexandria, VA 22314

4 PAGES

RE: 6951966 09/555255

Attached is a Request for Correction of Payment Deficiency under 37 C.F.R 1.28 (c) and an entity assertion statement claiming large entity status.

Please process those details handled by the Maintenance Fee Branch and forward on to the Petitions Department.

Many thanks for your assistance.

Sallie Winkler

Sallie Winkler
Service Account Manager - USPTO
Client Service Centre

703-739-1243 phone
703-739-2815 fax

Certificate of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the USPTO at 571-273-6500 on the date below.

Date

Signature

20 Apr 09

Sallie Winkler

The Commissioner is hereby authorized to charge any additional fees associated with these payments or credit any overpayments to Deposit Account 50-4623.

04/21/2009 MBANGURA 00000012 504623 6951966

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490.00 DA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT NUMBER: 6,951,966

RECEIVED

ISSUE DATE: October 04, 2005

APR 23 2009

APPLICATION SER. NUMBER: 09/555,255

OFFICE OF PETITIONS

FILING DATE: September 28, 1999

TITLE: ORTHOALKYLATION CATALYST FOR PHENOL AND PROCESS FOR
PRODUCING ORTHOALKYLATED PHENOL WITH USE THEREOF

Current Date: 15 April, 2009**REQUEST FOR CORRECTION OF PAYMENT DEFICIENCY**
UNDER 37 C.F.R. 1.28 (c)Commissioner for Patents
Mail Stop M Correspondence
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Owner of above-listed U.S. Patent No. 6,951,966 respectfully requests that a payment for deficiency owed regarding the 4-year maintenance fee be accepted by the Office. The patent maintenance fee previously paid for this matter on March 04, 2009 was paid in good faith based on a small entity status. However, due to an oversight the 4-year patent maintenance fee should have been paid based upon large entity status.

The total deficiency currently owed is \$490.00. The itemization of the deficiency payment is as follows:

U.S. Pat. No. 6951966
Ser. No. 09/555,255
Date: April 15, 2009

| | |
|---|------------------|
| Current Maint. Fee Due at 4 years for Large Entity: | \$ 980.00 |
| Maint. Fee Previously Paid as Small Entity: | <u>\$490.00</u> |
| TOTAL DEFICIENCY OWED: | \$ 490.00 |

A request to CHANGE OF ENTITY STATUS PURSUANT TO 37 C.F.R.

§1.27 (g)(2) has been submitted herewith.

Owner of the above-listed patent respectfully requests the Office to accept this request to increase the 4 year maintenance fee to large entity based upon compliance herein with 37CFR 1.28 (c). The Commissioner is hereby authorized to charge \$490.00, the deficiency owed, and any additional fee that may be due or credit any overpayment to Representative's Deposit Account No. 50-4623.

Respectfully submitted,
/Kendal M. Sheets/

Kendal M. Sheets
Reg. No. 47, 077
Authorized Representative
CPA North America, LLC
225 Reinekers Lane, Suite 400
Alexandria, VA 22314
(703) 236-2994
ksheets@cpaglobal.com

Certification of Facsimile Transmission

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 571-273-6500 on the date shown below.

DATE: April 15, 2009

SIGNATURE: /Kendal M. Sheets/

Fax:

2 Apr 2009 12:34 P008/023

F-0195
(SF-643a)

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/555,255

Current Date February 5, 2009

Filed: September 28, 1999

Patent No.: 6,951,966

Issued: October 4, 2005

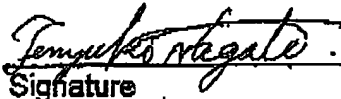
CHANGE OF ENTITY STATUS PURSUANT TO 37 C.F.R. §1.27 (g)(2)

Commissioner for Patents
Mail Stop M Correspondence
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication hereby notifies the United States Patent and
Trademark Office that small entity status is no longer applicable for the above-
identified patent.

Respectfully submitted,


Signature

Teruyuki NAGATA

COMPANY/FIRM NAME AND ADDRESS

HONSHU CHEMICAL INDUSTRY CO., LTD.
1-1, Kyobashi 1-chome,
Chuo-ku, Tokyo 104-0031, JAPAN

Printed Name

TITLE Managing Director

Reg. # If US Attorney _____